

PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number

09/802,395

Filing Date

March 9, 2001

First Named Inventor

SPIELBERG, Steven

Art Unit

7561

Examiner Name

William D. Hutton, Jr.

Attorney Docket Number

80700.911

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):Application Data Sheet; Check No. 3823-  
(\$130.00); First Class Certificate of Mailing;  
Return Receipt Postcard; (See Remarks)**Remarks**

Petition to Correct Inventorship; Statement of Samuel Gustman; Declaration and Power of Attorney (4 pages); Declaration of Steven Spielberg

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

THE HECKER LAW GROUP, PLC.

Signature

Printed name

TODD N. SNYDER

Date

March 14, 2006

Reg. No.

41,320

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

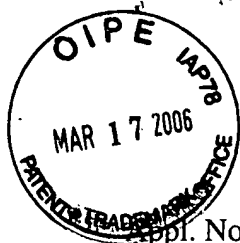
Frances Scardino

Date

March 14, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/802,395 Confirmation No.: 7561  
Applicant: Steven Spielberg  
Filed: March 9, 2001  
TC/A.U.: 7561  
Examiner: William D. Hutton, Jr.

Docket No.: 80700.911

Customer No.: 22804

**PETITION TO CORRECT INVENTORSHIP IN A PATENT APPLICATION**

37 C.F.R. § 1.48(a)

MAIL STOP: PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.48(a), Applicant hereby petitions to correct the inventorship of the above-identified patent application. Specifically, this petition comprises a request to add Samuel Gustman as a second inventor in the patent application.

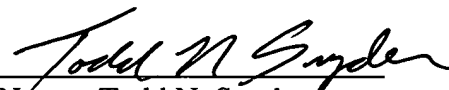
Submitted with this petition are the following:

- (1) A statement from the person being added as an inventor, Samuel Gustman, that the error in inventorship occurred without deceptive intention on his part.
- (2) An Oath or Declaration by the actual inventors, Steven Spielberg and Samuel Gustman, as required by § 1.63 or as permitted by §§ 1.42, 1.43 or § 1.47.
- (3) A statement from the current named inventor, Steven Spielberg, stating that he has no disagreement in regard to the requested change.
- (4) The fee set forth in § 1.17(i).
- (5) A revised Application Data Sheet.

Applicants have listed Steven Spielberg as the first named inventor on the attached Declaration and revised Application Data Sheet. Accordingly, Applicants request that Steven Spielberg continue to be listed as the first named inventor in this patent application and any patent that may issue therefrom.

Respectfully submitted,

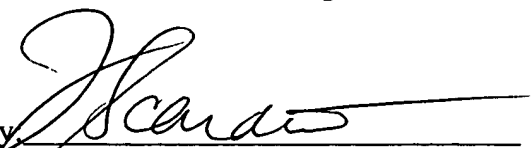
Date: March 14, 2006

By:   
Name: Todd N. Snyder  
Reg. No.: 41,320

THE HECKER LAW GROUP, PLC  
1925 Century Park East, Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 on March 14, 2006.

By:   
Name: Frances Scardino



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/802,395

Confirmation No.: 7561

Applicant: Steven Spielberg

Filed: March 9, 2001

TC/A.U.: 7561

Examiner: William D. Hutton, Jr.

Docket No.: 80700.911

Customer No.: 22804

**STATEMENT OF SAMUEL GUSTMAN**

MAIL STOP: PETITION  
Commissioner for Patents  
Alexandria, VA 22313

Sir:

I, SAMUEL GUSTMAN, declare that through error and without deceptive intent I was omitted as an inventor, and that I am an inventor of the patent application listed above and that I should have been named in the previously filed paperwork as such.

I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 7/19/05, 2005

  
Samuel Gustman



Our Docket: 80700.911

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below, next to our name,

We believe that we are the original and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR ANNOTATING A DOCUMENT**

the specification of which

                     is attached hereto.  
  X                     was filed on March 9, 2001 as  
Application Serial No. 09/802,395  
and was amended on 01/14/2005  
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. We do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof, or patented or described in any printed publication in any country before our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by us or our legal representatives or assigns more than twelve months prior to this application.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application

and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

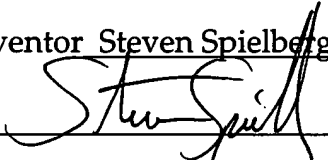
\_\_\_\_\_  
(Status -- patented,  
pending, abandoned)

We hereby appoint Attorneys at PTO Customer Number 22804, as our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of first Inventor Steven Spielberg

Inventor's Signature



Date 12-12, 2005

Residence Los Angeles, California 90064  
(City, State)

Citizenship USA  
(Country)

Post Office Address: C/O Breslauer & Rutman, LLC, 11400 West Olympic Blvd.,  
Suite 550, Los Angeles, California 90064

Full Name of Joint Inventor: Samuel Gustman

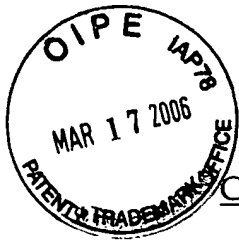
Inventor's Signature

Date \_\_\_\_\_, 2005

Residence Universal City, California 91608  
(City, State)

Citizenship USA  
(Country)

Post Office Address: 100 Universal City Plaza, Building 447, Universal City,  
California 91608



Our Docket: 80700.911

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below, next to our name,

We believe that we are the original and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR ANNOTATING A DOCUMENT**

the specification of which

\_\_\_\_\_ is attached hereto.  
X \_\_\_\_\_ was filed on March 9, 2001 as  
Application Serial No. 09/802,395  
and was amended on 01/14/2005  
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. We do not know and do not believe that the same was ever known or used in the United States of America before our invention thereof, or patented or described in any printed publication in any country before our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by us or our legal representatives or assigns more than twelve months prior to this application.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application

and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status -- patented,  
pending, abandoned)

We hereby appoint Attorneys at PTO Customer Number 22804, as our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of first Inventor Steven Spielberg

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_, 2005

Residence Los Angeles, California 90064  
(City, State)

Citizenship USA  
(Country)

Post Office Address: C/O Breslauer & Rutman, LLC, 11400 West Olympic Blvd.,

Suite 550, Los Angeles, California 90064

Full Name of Joint Inventor: Samuel Gustman

Inventor's Signature Samuel Gustman Date 7/19, 2005

Residence Universal City, California 91608  
(City, State)

Citizenship USA  
(Country)

Post Office Address: 100 Universal City Plaza, Building 447, Universal City,

California 91608





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/802,395 Confirmation No.: 7561  
Applicant: Steven Spielberg  
Filed: March 9, 2001  
TC/A.U.: 7561  
Examiner: William D. Hutton, Jr.

Docket No.: 80700.911

Customer No.: 22804

**DECLARATION OF STEVEN SPIELBERG**

MAIL STOP: PETITION

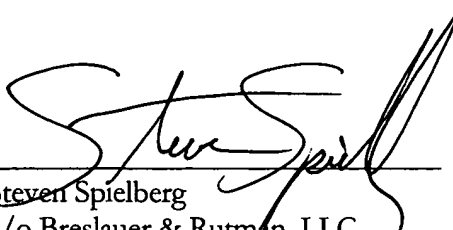
Commissioner for Patents

Alexandria, VA 22313

I, STEVEN SPIELBERG, declare as follows:

1. I am a named inventor on the above patent application.
2. I have no disagreement in regard to the addition of SAMUEL GUSTMAN as an inventor on the above patent application.
3. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 12-12, 2005

  
Steven Spielberg  
c/o Breslauer & Rutman, LLC  
11400 West Olympic Blvd., Suite 550  
Los Angeles, CA 90064



## APPLICATION DATA SHEET

### **APPLICANTS INFORMATION:**

#### **FIRST INVENTOR:**

Name: Steven Spielberg  
Residence: Los Angeles, California  
Mailing Address: Breslauer & Rutman, LLC,  
11400 West Olympic Blvd., Suite 550  
Los Angeles, California 90064 USA  
Citizenship: USA

#### **SECOND INVENTOR:**

Name: Samuel Gustman  
Residence: Universal City, California  
Mailing Address: 100 Universal City Plaza, Building 447  
Universal City, California 91608 USA  
Citizenship: USA

### **CORRESPONDENCE INFORMATION:**

Correspondence Address: Customer Number 022804

### **APPLICATION INFORMATION:**

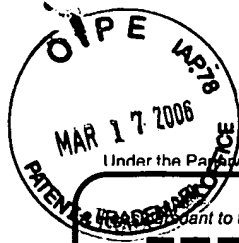
Title Line One: METHOD AND APPARATUS  
Title Line Two: FOR ANNOTATING A  
Title Line Three: DOCUMENT  
Total Drawing Sheets: 14  
Formal Drawings: Yes  
Figure for Publication: 3  
Application Type: Non-Provisional, Utility  
Docket Number: 80700.911

### **PRIORITY INFORMATION:**

None

### **FOREIGN PRIORITY INFORMATION:**

None



Effective on 12/08/2004.

Subject to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 40.00

**Complete if Known**

Application Number	09/802,395
Filing Date	March 9, 2001
First Named Inventor	SPIELBERG, Steven
Examiner Name	William D. Hutton, Jr.
Art Unit	7561
Attorney Docket No.	80700.911

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 08-1520 Deposit Account Name: The Hecker Law Group, PLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Petition to Correct Inventorship in a Patent Application

Fees Paid (\$)

\$130.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 41,320	Telephone (310) 286-0377
Name (Print/Type)	TODD N. SNYDER		Date March 14, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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